

Regd. Office : 3rd Floor, Vaastu Darshan, "B", Above Central Bank of India, Azad Road, Andheri (East), Mumbai - 400 069. (0) : +91-22-6191 9293 / 22 Website : mudrafinancial.in Email : mudrafinancia1.1994@gmail.com L 65999MH1994PLC079222

Date: 17th October, 2020

To, BSE Limited The Deputy General Manager, Corporate Relationship Department, Mumbai - 400 001.

Security Name: MUDRA

Sub: Filing Transfer Certificate

Ref: Security Code No.: 539819

Dear Sir(s),

With reference to above, kindly find enclosed herewith the Transfer Certificate issued by a Practicing Company Secretary as required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020.

Kindly find the same in order and acknowledge.

Thanking you,

Yours faithfully, For Mudra Financial Services Limited



Ekta Thakkar Company Secretary and Compliance Officer M. No.: A8633

Encl: A/a.

ANIRUDH KUMAR TANVAR

(Practicing Company Secretary) ⊠: A-702, Satya Saikripa CHS Ltd., Satya Nagar, Borivali (West), Mumbai – 400 092 ☎: +91-8268649291; 旦: anirudh.tanwar@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Mudra Financial Services Limited ("the Company")** having its registered office at 3rd Floor, Vaastu Darshan, 'B' Wing, Azad Road, Andheri (East), Mumbai – 400 069 maintained by its Registrar and Share Transfer Agent "System Support Services" pertaining to transfer of Equity Shares of the Company for the period from **01/04/2020 to 30/09/2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into thereunder by the Company with the Stock Exchange and based on the information provided by the Company, I hereby certify that the Company has delivered/issued during the half year ended on **30th September**, **2020**:

- A. Share Certificates relating to the transfer of shares received during the period from 01/04/2020 to 30/09/2020 as entered in the Memorandum of Transfers and that all the Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Any other information, if applicable NOT APPLICABLE

Place: Mumbai Date : 17th October, 2020



CS Anirudh Kumar Tanvar Practicing Company Secretary

ACS No. : 23145 COP No. : 19757 UDIN: A023145B000983368